Theme Article: Data Physicalization

Slave Voyages: Reflections on Data Sculptures

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Abstract—This pictorial presents the development of a data sculpture, followed by our 8 reflections inspired by Research through Design (RtD) and Dahlstedt's process-based 9 model of artistic creativity. We use the notion of negotiation between concept and 10 material representation to reflect on the ideation, design process, production, and the 11 exhibition of "Slave Voyages" — a set of data sculptures that depicts slave traffic from 12 Africa to the American continent. The work was initially produced as an assignment on 13 physicalization for the Design course at the Federal University of Rio de Janeiro. Our aim is 14 13 to open discussion on material representation and negotiation in the creative process of data physicalization. 16

SINCE ARISTOTLE, THE history of Western 18 thought has followed a hylomorphic model of 19 creation, in which an agent with a particular 20 design in mind imposes form to the material. 21 Thus, the matter is rendered passive and 22 becomes a result of what was forced upon it.¹ 23 This model is still applied in art and design edu-24 cation. Moreover, in most design practices, the 25

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configuration of an artifact is supposed to follow a blueprint that began in the mind of the creator.

Unlike the model for the blueprint in the artist's mind, we see the creative process as a tension, a bouncing between concepts and the materials.² The artist's intention could be compared to a seed, which changes as soon as they begin engaging with the availability of materials, tools, financial resources, feasibility, and the environment. Thus, iterated dialogues occur between head and hand.³ Artistic and design creativity is a gradual and iterative process that involves the implementation of the idea or



Figure 1. View of the data sculpture in the gallery of the Paço Imperial, Rio de Janeiro.

concept in a material form, followed by cycles of reconceptualization and refinement, until the material representation is considered "just right."² Thus, the production of any artifact is much closer to a negotiation,^{4, 5} in which the outcome or product is not a result of a perfect plan.

The classic visualization reference model describes three processes on how to create visualizations. In this model, the raw data are transformed into data tables, which are mapped into visual structures. Through user interactions, the visual structures are rendered and displayed.⁶ Data transformation and mapping are also processes used in physicalization. Furthermore, both data visualization and physicalization are determined by the choice of tools and materials adopted. While the former requires frequent innovation and algorithm development, the latter can make use of a variety of materials, which calls for various tools, some of them even archaic. In this context, the negotiation that happens during a physicalization process can be more visible. Thus, the analysis of a physicalization design can be of great help in understanding the negotiation in the creative process.

In this pictorial, we explore the notion of 64 negotiation in physicalization design, going back 65 and forth between concepts and material repre-66 sentation. Based on Research through Design 67 (RtD)⁷ and on Dahlstedt's model of artistic crea- 68 tivity,² we reflect upon the development of a 69 data sculpture, "Slave Voyages" (see Figure 1), a 70 set of physical visualizations produced for a 71 data physicalization assignment in the Visual 72 Communication Design program of the School of 73 Fine Arts, at the Federal University of Rio de 74 Janeiro in Brazil. We describe its ideation, design 75 process, production, and exhibition. We discuss 76 the design uncertainty, positive points, prob-77 lems, and the role of materials and tools in the 78 process, together with reflections on the negoti-79 ation in the creative process. We hope to pro- 80 vide new reflections on the design and 81 enjoyment of physical visualization, thereby 82 opening up a discussion on material representa- 83 tion in the creative process. 84

Research and Creativity in Design

In this pictorial, we applied RtD concepts 86 mixed with Dahlstedt's process-based spatial 87

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model of artistic creativity.² RtD first appeared 88 in Frayling's discussion on types of design 89 research.⁷ Its main goal is not the artifact but the 90 knowledge and understanding produced in the 91 design process. RtD can be applied in the con-92 text of a design project, led by a researcher who 93 is also a practitioner-designer.⁸ It is considered 94 inseparable from the design experiment that 95 interacts with reality⁹ and is aligned to a relativ-96 ist perspective of research, which also considers 97 intuition, interests, experiences, and values, and 98 is increasingly acknowledged within the field of 99 visualization.¹⁰ 100

In the context of RtD, the concept of 101 "annotated portfolio" offers a mode for organizing 102 the knowledge produced during a design project. 103 Annotated portfolios navigate between descrip-104 tive (related to the description of past occur-105 rences) and generative-inspirational (vision of 106 future possibilities) modes, offering the research 107 a way of reaching out beyond the particular,¹¹ 108 bringing communicability and transferability to 109 the findings from RtD. Both the annotated portfo-110 lio and the pictorial have a shared emphasis on 111 the image in the production of knowledge. In RtD, 112 all information gathered in the design process is 113 considered valuable and should be collected and 114organized for further reflection. Thus, in this pres-115 ent study, field notes, sketches, cell phone photo-116 graphs, notes from discussions with colleagues, 117 observations on intuition and coincidences expe-118 rienced, exploratory image searches via the inter-119 net, and difficulties that were either mastered or 120 not, were reflexively documented as part of the 121 design process. 122

The process-based spatial model of artistic 123 creativity is a theoretical construction that pro-124 vided terminology and an apparatus for the 125 reflections developed in this study.² It offers an 126 explanation on how the creative process works 127 as an iterative bounce between concepts and 128 materialization, or between the idea and its 129 reverberation over a material space. It presents 130 how the artist's idea changes according to many 131 things; for example, the material and tools avail-132 able, the knowledge of them, preferences, world-133 view, intuitions, and coincidences, among other 134 things. Thus, this model provides value for the 135 many details described in the design process of 136 the data sculpture. 137

Negotiation in the Design Process

Physicalization is to encode data on a physical shape through its geometry or material properties.¹² In this context, the design of physicalization requires special attention to materials and tools. From the user perspective, physicalization provides the use of multisensory perception beyond the visual.¹² It can support active perception, because it allows exploration through movement around the object as well as near or far approaches. Designers and artists have been creating data sculptures to communicate meaning and provoke reflections.^{13, 14}

This study started as an assignment in a physicalization course of the Design program in the School of Fine Arts, at the Federal University of Rio de Janeiro, Brazil. All students were information visualization novices, and it was their first experience with physical material for visualizing information. Graphic software is normally their main tool. Professor Doris Kosminsky, the first author of this article, was the class instructor, and Douglas Thomaz de Oliveira was the student who created "Slave Voyages." Before moving into the Design field, Douglas completed an undergraduate degree in History. He is still a high school history teacher and follows WhatsApp groups discussing Brazilian history. His previous knowledge and interests drew him toward historical data, like those used in this project.

The creative process and production of the sculpture were discussed in class. Consequently, we considered the physicalization produced, and the reflections that followed, to be a product of collective knowledge developed in the class-room by the students and teachers. Thus, the description presented will use the pronoun "we," except in the cases where the explanation is only related to the student.

Following the classic reference model for visualization,⁶ the students searched for data related to a theme of interest to them. As a historian, Douglas was engaged with the slavery history in Brazil and already knew of the database available at the Slave Voyages website. The dataset is the result of international researchers' contributions, which began in the late 1960s. It shows 36 000 voyages between 1514 and 1866, which transported approximately 12.5 million

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Data Physicalization



Figure 2. A whipping post with marks representing data (left) and a curtain of beads representing data (right).

slaves. The sources used in the dataset were numerous and reliable. Sixty percent of these voyages have three or more sources confirming them. The Slave Voyages website offers more details on the data-gathering methodology. Although the dataset includes some slave ship arrivals in Europe, for the physicalization of Slave Voyages, we only considered the arrivals in the Americas.

Visual Inspiration

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Our design process began with a search for a shape or artifact on which the data could be mapped. Visualization practitioners usually initiate the design process with well-known visualization types, like charts, diagrams, or maps. However, in physicalization, the choices are greater but less known, given that mapping can incorporate a variety of shapes and materials. Based on the model of artistic creativity,² we consider that whatever choice is taken first, it will integrate a conceptual network that will move us forward until we find the best physicalization format. In this case, the visual choice is only a starting point.

We searched for visual elements related to slavery and African cultures, which could inspire symbols for the physicalization design. Our first ideas were related to instruments used to punish the slaves physically; for example, whips, pillories, or whipping posts (see Figure 2, left). We subsequently realized that the use of torture instruments would emphasize the brutality and injustice of slavery, but would not easily generate empathy or engagement. We wanted to create an inspiring physicalization that would contribute to understanding the history of modern African slavery. We believed that if the physicalization was aesthetically pleasing, it could lead to more engagement. From our view, it 226 sounds contradictory to attempt to create 227 beauty from torture instruments. 228

While searching the internet for images, we 229 found pictures of bead necklaces, which remind 230 us of the ritualistic beads used in Brazil by fol- 231 lowers of the African-based faiths. They believe 232 that the bead necklaces help the spiritual con- 233 nection between Orishas and humans. In other 234 words, they represent the link between matter 235 and the divine. Both the color and the number of 236 beads in a necklace have a spiritual significance. 237 In physicalization, standard beads represent 238 locations on a map¹⁵ and values in polls.¹⁶ In art- 239 works by data artist Loren Madsen, each bead 240 represents a year, and their size is proportional 241 to the number of terrorist-caused deaths for that 242 year.^{17, 18} We considered it appropriate to use 243 beads in the physicalization of Slave Voyages 244 because of their versatility. They are sold in 245 many colors, which can represent different cate- 246 gories that can easily be counted. On the other 247 hand, some historian friends who joined Douglas 248 in a WhatsApp group questioned the use of col- 249 ored beads to represent such a sad and vile 250 event of humankind. In the end, we stuck to our 251 decision regarding the beads. 252

Following this resolution, we were confronted 253 with another design decision, which was the 254 form of the physicalization using the beads. We 255 first imagined a curtain of beads (see Figure 2, 256 right), which was later dismissed. Since bead 257 curtains are common objects, usually seen in 258 homes, we realized they would not have an 259 impact as sculpture, and so we continued experi- 260 menting with other forms. 261

Resuming the search for images, we got the 262 idea of displaying the beads in circles or rings. A 263 ring is a circle, a geometric shape with extensive 264 meaning. It represents totality, timelessness, and 265 cyclic movement—notions that intersect with his- 266 tory. We quickly made a first prototype to check 267 the material's possibilities. We strung the beads 268 on a thread and fixed them onto a circular iron 269 ring. In the end, we decided to keep the ring shape 270 but to string the beads through an iron wire. 271

Mapping the Data

Once we decided to use the rings of beads in 273 the physicalization, we were faced with two 274

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1601-1650	287	77	0	0	0	0	0	0	0	0	
1651-1700	1.615	42	0	308	0	47	0	70	0	1.499	
1701-1750	1.205	2.388	0	3	0	646	0	1.647	126	2.127	
1751-1800	0	1.024	15	0	0	1.727	15	750	148	3.583	
1801-1850	0	0	0	0	0	158	0	0	0	0	
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Figure 3. The Slave Voyages website, with choices for year range.

other decisions: the value attributed to each 275 bead, and the period of time represented by 276 each ring. The Slave Voyages website displays 277 the sum of embarked and disembarked slaves 278 in ranges of 5, 10, 25, 50, and 100 years (see 279 Figure 3). We experimented equating each sin-280 gle bead to 1000, 5000, and 10 000 people. We 281 tested many combinations, using each one in a 282 spreadsheet. Considering a ratio of 1000 people 283 for each bead in a 50-year-period, the biggest 284 ring (1751-1800) would require 3933 beads and 285 would be approximately 10 meters in diameter. 286 Such a huge ring would require thicker iron 287 wire in order to remain stiff and stable, and 288 would also require beads with bigger holes for 289 the wire to pass through, and so on. And there 290 is also the impossibility of transporting and 291 exhibiting the sculpture in a show. 292

In the conceptual space, ratios such as 1000 293 people per bead would offer a better visualiza-294 tion; however, in terms of material representa-295 tion, this is not always possible. Besides this, we 296 were limited by the availability of standard mate-297 rials. Given these limitations, we decided to 298 maintain the ratio of 10 000 people represented 299 by one bead. We arranged the beads on the 300 rings, with each ring representing 50 years. We 301 302 had to round values in accordance with the 303 ratio. Sometimes we rounded up to include a 304 bead that otherwise would not have materialized. Hence, in this study, we see how materials 305 impose constraints on design, affecting the pro-306 cess and the artifact produced. 307

From the values in the spreadsheet, we calculated the number of beads required. However, we had to return to the store three times to purchase more beads and twice for the wire. The first beads bought were made from glass and were very attractive, but we had to change to plastic beads, due to limited financial resources. We made small prototypes using three different wire thicknesses (see Figure 4). The first wire bought was thin and limp and was sold in small rolls, which would present challenges, due to the rings' diameters. For instance, the smallest ring, which was responsible for securing the whole structure, would not be strong enough to bear the weight of the other rings.

We also did not have the tools to weld or curve the wire. The School of Fine Arts' workshop only has a small rolling machine, so we contacted professional blacksmiths. Given the time involved, the need for precision, and what they could charge, they were unable to accept the order. The thicker wire also presented challenges. Although we had access to a professional rolling machine, the diameter did not match the specified size. We ended up using an 8-mm-thick wire and 10-mm beads. The solution for fixing the two ends of the wire was to use a new object (see Figure 5). It is worth highlighting that we did not have a proper studio to assemble the pieces.

We produced two pieces (see Figure 6): one for the outbound ports in Africa, and the second for the inbound ports in the Americas (North and South America as well as the Caribbean). We



Figure 4. Prototypes for testing the beads and iron wires.

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Figure 5. The object that fixes the wire in the ring.



Figure 6. Two pieces representing boarding and offloading ports.

used 2482 beads in the two pieces. Each piece had seven rings, distributed from top to bottom, which corresponds to the passage of time, beginning from 1551 to 1600. The largest ring, which shows the most intense period of traffic, had a diameter of 93 centimeters; while the smallest was 5.6 centimeters in diameter. There was no ring for the period before 1550, as we disregarded the data for this period.

The colors represent different ports or regions (see Figure 7) and were chosen from the available beads found in the market. The sequence of locations followed the order of the spreadsheet. The colors to represent each location were randomly selected, with some adjustments to avoid similar colors ending up side by side. Black beads were chosen to represent men and women who died on the journey. We initially tried to place the black beads following the beads for each port of arrival. We then realized that it was difficult to know if the black beads were related to the color group before or after them. For this reason, all the black beads were placed together on the ring, which would have more impact on the observers (see Figure 8).







Figure 8. Black beads, which represent the dead in the journey, were first placed following the beads for the port of arrival (see the sketch). Later, they were placed together in the ring.

In the first piece produced (see Figure 10), we 367 have varied the space between the rings. Our 368 idea was to reinforce the rising and decreasing 369 of the slave traffic with the curves of the threads. 370 However, this space did not reinforce the 371 desired meaning. 372

We had difficulty transporting the pieces, 373 because the wires tangled when not stretched 374 tightly (see Figure 9). Because of this, only one 375 piece was presented completed in class. We also 376 encountered other constraints in the classroom; 377 for example, it was not possible to hang the 378 piece from the ceiling to have a preview of how 379 it would fit in the exhibition. Although the partic- 380 ipation of other students with suggestions 381



Figure 9. Sculpture not mounted.

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Figure 10. Douglas holding the sculpture.

regarding the design process was positive, the
development of the sculpture suffered due to
the time constraints inherent to any course.

385 Exhibition and Further Development

From all the works created as assignments 386 for the course, "Slave Voyages" was the only one 387 chosen to be exhibited. The physical visualiza-388 tion was shown twice, in two different collective 389 exhibits, both of them in Rio de Janeiro. The first 390 one was as part of a contest for the 7th Biennial 391 of the School of Fine Arts of the Federal Univer-392 sity of Rio de Janeiro, between September 12 393 and October 13, 2019, at the Paco Imperial. The 394 second exhibit, "The sense of form: Design as a 395 poetic act," took place between November 14 396 and December 13, at the Centro Cultural da 397 Light. It included works from students and teach-398 399 ers of graduate programs in Design from around Brazil. Both venues are famous cultural centers 400 in Rio de Janeiro. 401

Following the jury selection, we had to make some improvements to the data sculpture to guarantee its durability in the gallery for a whole month. Given that the rings were not stand-alone objects, we had to determine how they would be displayed together. Alternatively, we tried to arrange the rings perpendicular to the floor, but



Figure 11. Indication of the 50-year range.



Figure 12. Indication of the 50-year range.

this configuration did not work, as it would require a laborious binding system using two opposite walls, which would require rigid specificities within an exhibition space. On the other hand, once the sculpture is hanging from the ceiling, it uses its own weight to stabilize itself.

The two pieces of the sculpture were linked by threads, which represent the current relationship between the two continents. The thread was a last-minute idea during the assembly of the sculpture in the gallery at the first exhibition. Another idea implemented only in the exhibition was the wooden beads to indicate the 50-year ranges (see Figures 11 and 12).

Data Physicalization



Figure 13. Color legend on the floor.



Figure 14. View of "Slave Voyages" at the gallery of Paço Imperial, during the 7th Biennial of the School of Fine Arts.

A complete understanding of the data of the visualization was only possible if the visitor was willing to examine the color legend placed on the floor beside the sculptures (see Figure 13), which explains the association between the colors, regions, and the ratio of 10 000 people per bead. Sadly, we observed that not everyone read the legend. We thought that the positioning of the legend, below the artwork, did not help. We later realized that the visitors' attention to this detail would have been better if the labels had been placed next to the beads' rings. Ultimately, we decided to keep the sculptures and legends as originally placed.

Although we did not test the understanding of the data used in the physicalization, we took some time to observe the visitors and talked to a few of them. Many visitors perceived the patterns highlighted by the physicalization. Through the diameter of the rings, they mentioned the massive number of slaves transported on the voyages, many of whom died. They also observed the prevalence of specific colors. For example, red represented Brazil, which was the last country to end slavery and the destination of 40% of those arriving in the Americas. One visitor commented that the work was ethereal, referring to the lightness of the sculpture. An elderly Afro-Brazilian watchman at the Paço Imperial's cultural center could not hide the emotion the work aroused in him.

One of the most relevant aspects of the exhibition was the opportunity to enjoy the physicalization in an appropriate space. Both exhibits offered great opportunities to observe the public reaction, in addition to raising questions not 457 elaborated during the production process; for 458 example, the relationship of the physicalization 459 with the surrounding space. 460

As one can see from the images, the data 461 sculptures have spatial volume but little density. 462 All the materials used (e.g., beads, threads, and 463 wires) were light and thin, with a low physical 464 embodiment. Although the beads were numerous, 465 their arrangement did not guarantee immediate 466 visibility. Taking photographs of the artwork was 467 not a simple task, because it blended with the 468 other objects in the exhibition as well as the back-469 ground (see Figures 14 and 15). During the design 470 stage, we did not pay attention to the importance 471 of the surrounding space for more profound visi-472 tor engagement with the physicalization. That was 473 a mistake.

The design of a data sculpture was an excel- 475 lent opportunity to observe the negotiation 476 between the material and the environment. The 477 materialization of the slavery data had an appeal- 478 ing outcome, but not without pain. Lots of negoti- 479 ation between the original idea and the material 480 had occurred during the assemblage process. 481

Although the data presented reflects an 482 extremely violent historical process, marked by 483 cruelty and indifference to human life, we never 484 intended to present graphic violence. We aimed 485 to attract the attention of the visitors toward the 486 work through its aesthetic and artistic aspects 487 and to suggest a delayed more in-depth engage- 488 ment with the physicalization. Thus, we think 489 that our intention was achieved. 490

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Figure 15. View of "Slave Voyages" at the gallery of Centro Cultural da Light.

After the exhibition, we sent some pictures of 491 the data sculpture to researchers from the Slave 492 Voyages website, and we received a message 493 from its co-manager, who is a professor of Afri-494 can History. He told us that glass beads had an 495 important role in the slave traffic trade. We were 496 totally surprised by this information but it 497 helped us to understand the concepts of intui-498 tion¹⁰ and coincidence,² which have become 499 more frequent in design research studies. 500

501 CONCLUSION

In this study, we discussed the design and
exhibition of data physicalization associated
with Atlantic slavery traffic, based on RtD and on
the model of artistic creativity.

The two exhibits offered an opportunity for 506 an unstructured observation of both the art-507 works in the gallery, and the visitors' perception 508 of the historical information represented in the 509 510 sculptures. Although not new, the use of beads in the physicalization reinforced the poetic 511 aspect of the artwork amid the violence of the 512 history represented in the data, which made the 513 pieces more attractive. 514

By describing the iteration in the creative process involving the design concept and the material representation, we intended to present the design as a process that involves negotiation. We also aimed to highlight the agency of external subjects in the outcome of the physicalization; for example, the difficulties in transporting the artwork, the space surrounding the artifact, and other external constraints.

The knowledge generated from the design of the data sculpture and the posterior reflection about it surpasses the description of the configuration for a generative-inspirational vision. It includes the hit-and-miss that constitutes the creative process, in general, and the physicalization design in particular.

We think that physicalization and data visualization designers could benefit from integrating the subjective perspective offered by the materials and tools, as well as external factors, into the creative process.

As a takeaway, we suggest that designers pay more attention to the negotiation between concept and material representation in its multiple possibilities. We also hope that this pictorial stimulates alternative approaches to future physicalization projects.

CNPq for the research gran

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